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Docket: 2854 (203-3081 PCT US IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Buchman, et al.

**EXAMINER:** 

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

Art Unit 3739

FILED:

October 5, 2005

DATED:

August 22, 2007

TITLE:

ELECTROSURGICAL PENCIL WITH DRAG SENSING

**CAPABILITY** 

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### SEVENTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO/SB/08A is brought to the attention of the Examiner. Copies of the U.S. patent documents are not provided in accordance with the waiver of that requirement under 37 C.F.R. §1.98.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The attached PTO/SB/08A Form identifies International Application Serial No.

PCT/EP2003/012789 filed on November 15, 2003. Said application was published in German including an English-language Abstract. Applicant(s) submit that the content of said application

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Dated: August 22, 2007

Nicole Rispone

may be readily ascertained from the drawings and the English-language Abstract thereof.

Accordingly, Applicant(s) submit that no further translation of said application is required.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

§1.56(b).
[] This information disclosure statement is being filed within three (3) months of the filing date of this application.
[] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
[] This information disclosure statement is being filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114.
[X] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
[X] Applicant hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement as set forth in 37 C.F.R. §1.97(e)(1).
[] Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(2).
[] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
[] Please charge the \$130.00 petition fee to Deposit Account No. <u>21-0550</u> .
[] Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
[] Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 21-0550.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 21-0550. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 21-0550 therefor.

Respectfully submitted,

Francesco Sardone Reg. No.: 47,918

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP

445 Broad Hollow Road - Suite 225 Melville, New York 11747

Tel.: (631) 501-5700 Fax: (631) 501-3526

PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	j

Complete if Known					
Application Number	10/521,901				
Filing Date	October 5, 2005				
First Named Inventor	Buchman, et al.				
Art Unit	3739				
Examiner Name	TBD				
Attorney Docket Number	2854 (203-3081 PCT US)				

		U.S.	PATENT DOCUMENTS	WITHOUT REFERENCES	
Examiner nitials*	Cite No.1	Document Number  Number-Kind Code <sup>2 ((f brown)</sup>	Publication Date - MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/LC/		2,031,682	2-25-1936	Frederick et al.	
		2005/0096681	5-5-2005	Kai et al.	
V		2005/0113824	5-26-2005	Sartor et al.	
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		FOREIGN PA	TENT DOCUMENT	rs <i>with references</i>		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Té
/LC/		EP 1656900	5-17-2006	Ethicon Endo Surgery Inc.	,	
		EP 1645234	4-12-2006	Sherwood Services AG		
V		WO 2004/045436	6-3-2004	Storz Endoskop Produktions		X

		OTHER DOCUMENTS		-
Examiner Initials*	Cite No.1		,	T²
/LC/		Copy of European Search Report from Application EP 07009028 dated July 16, 2007.		

Examiner	/Lee Cohen/		Date Considered 1/2007

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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Docket: 2854 (203-3081 PCT US)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Thomas J. Buchman, III

EXAMINER:

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

Art Unit 3739

FILED:

October 5, 2005

DATED:

June 6, 2007

TITLE:

**ELECTROSURGICAL PENCIL WITH DRAG SENSING** 

**CAPABILITY** 

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### SIXTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Dated: June 6, 2007

Nicole Rispone

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Respectfully submitted,

Francesco Sardone

Reg. No.: 47,918

Attorney for Applicant(s)

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Melville, New York 11747

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Sheet

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First Named Inventor	Buchman, III, et al.				
Art Unit	3739				
Examiner Name	TBD				
Attorney Docket Number	2854 (203-3081 PCT US)				

	•	U.S.	PATENT DOCUMENTS H	VITHOUT REFERENCES		
Examiner Initials*	Cite No.1	104 DD VVVV		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
	Number-Kind Code* (9-20-20-20-20-20-20-20-20-20-20-20-20-20-		MINI-DD-1111	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
/LC/		4,492,832	1-8-1985	TAYLOR		
. [		2007/0049926 A1	3-1-2007	SARTOR		
		2007/0093810 A1	4-26-2007	SARTOR		
		D515,412	2-21-2006	WAALER ET AL.		
		D521,641	5-23-2006	RESCHKE ET AL.		
V		D535,396	1-16-2007	RESCHKE ET AL.		
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FOREIGN PATENT DOCUMENTS WITH REFERENCES						
Examiner Cite Initials* No.	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	T6
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	
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Examiner	/Lee Cohen/	Date Considered 09/11/2007

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



Docket: 2854 (203-3081 PCT US)

ATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Thomas J. Buchman, III

**EXAMINER:** 

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

Art Unit 3739

FILED:

October 5, 2005

DATED:

December 15, 2006

TITLE:

ELECTROSURGICAL PENCIL WITH DRAG SENSING

**CAPABILITY** 

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Dated: December 15, 2006

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PTO/SB/08a (08-03)

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Complete if Known 10/521,901 Application Number Filing Date October 5, 2005 Thomas J. Buchman, III Art Unit 3739 N/Y/K Examiner Name

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

First Named Inventor (Use as many sheets as necessary) 2854 (203-3081 PCT US) Sheet Attorney Docket Number

[	U.S. PATENT DOCUMENTS WITHOUT REFERENCES				
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f Incom)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/LC/		5,035,695	7-30-1991	WEBER JR., ET AL.	•
1		5,938,589	8-17-1999	WAKO, ET AL.	
V		2005/0059858	3-17-2005	FRITH, ET AL.	
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Examiner nitials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	COUNTRY	Pages, Columns, Lines, Where Relevant Passages	T
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MINI-DD-1111		or Relevant Figures Appear	<u>L</u> .
/LC/		WO 98/43264	10-1-1998	PCT		
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	OTHER DOCUMENTS		
Examiner Initials*	Cite No.1		T²
/LC/		Copy of International Search Report from EP 06 01 4431 dated October 31, 2006.	

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Examiner	/Lee Cohen/	Date Considered 09/11/2007

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Docket: 2854 (203-3081 PCT US) wIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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EXAMINER:

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SERIAL NO.:

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GROUP:

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October 5, 2005

DATED:

August 22, 2006

TITLE:

**ELECTROSURGICAL PENCIL WITH** 

DRAG SENSING CAPABILITY

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/LC/		2,102,270	12-14-1937	HYAMS	
1		3,494,363	2-10-1970	JACKSON	
	1	4,620,548	11-4-1986	HASSELBRACK	
1		4,796,623	1-10-1989	KRASNER ET AL.	
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		6,526,320	2-25-2003	MITCHELL	
	1	2002/0026145 A1	2-28-2002	BAGAOISAN ET AL.	
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Thomal L. Buchman, III

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June 30, 2006

TITLE:

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Accou	III. 110. <u>21 0000</u> .

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 21-0550. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 21-0550 therefor.

Respectfully submitted,

Francesco Sardone

Reg. No. 47,918

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP

445 Broad Hollow Road - Suite 225

Melville, New York 11747

Tel.: (631) 501-5700

Fax: (631) 501-3526

PTO/SB/08a (08-03

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Substitute for form 1449A/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/521,901		
Filing Date	October 5, 2005		
First Named Inventor	Thomas L. Buchman, III		
Art Unit	3739		
Examiner Name	N/Y/K		
Attorney Docket Number	2854 (203-3081 PCT US)		

•		U.S.	PATENT DOCUMENTS	WITHOUT REFERENCES	• •
	Cite	Document Number  Number-Kind Code <sup>2 (f known</sup> )	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
	No.'		- MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
/LC/		5,431,645	7/11/1995	SMITH et al.	
T	T	6,955,674	10/18/2005	EICK et al.	
1/		2003/0032950 A1	2/13/2003	ALTSHULER et al.	·
V	1	2003/0212397 A1	11/13/2003	AVRAHAMI et al.	
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		FOREIGN PATENT DO	OCUMENTS WI	TH REFERENCES		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T⁵
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			or Relevant Figures Appear	igsquare
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Docket: 2854 (203-3081 PCT US)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Thomas L. Buchman, III

EXAMINER:

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

3739

FILED:

October 5, 2005

DATED:

May 19, 2006

TITLE:

**ELECTROSURGICAL PENCIL WITH** 

DRAG SENSING CAPABILITY

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO/SB/08A is brought to the attention of the Examiner. Copies of the U.S. patent documents are not provided in accordance with the waiver of that requirement under 37 C.F.R. §1.98.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450 on the date shown below.

Dated: May 19, 2006

West Strate

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

[] months	This information disclosure statement is being filed within three (3) sof the filing date of this application.
	This information disclosure statement is being filed within three (3) s of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in mational application.
	To the best of Applicant(s) knowledge, this information disclosure ent is being filed before the date of mailing of a first Office Action on the in connection with this case.
[]	Enclosed herewith is a certificate under 37 C.F.R. §1.97(e)(1).
[]	Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(2).
[]	Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
[]	Please charge the \$130.00 petition fee to Deposit Account No. 21-0550.
[]	Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
[]	Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit nt No. <u>21-0550</u> .

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 21-0550. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 21-0550 therefor.

Respectfully submitted,

Francesco Sardone Reg. No. 47,918

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP 445 Broad Hollow Road - Suite 225

Melville, New York 11747

Tel.: (631) 501-5700

Fax: (631) 501-3526

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

10/521,801

October 5, 2005

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Substitute for form 149A/PTO

Sheet 1

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

First Named Inventor Thomas L. Buchman, III Art Unit 3739 (Use as many sheets as necessary) N/Y/K **Examiner Name** of 1 Attorney Docket Number 2854 PCT US (203-3081 PCT US)

Filing Date

**Application Number** 

		U.S.	PATENTDOCUMENTS	WITHOUT REFERENCES	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2 (# known</sup> )	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
/LC/		3,219,029	11-23-1965	RICHARDS et al.	
	T	4,606,342	08-19-1986	ZAMBA et al.	
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V		2005/149001 A1	07-07-2005	UCHIKUBO AKINOBU et al.	
				·	

*		FOREIGN PATENT DO	OCUMENTS WI	TH REFERENCES		
	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	T⁰
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-1111	Applicant of Cited Document	or Relevant Figures Appear	<u> </u>
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TLC/****		DE 24 29 021 A1		Erbe Elektromedizin KG		Y

	OTHER DOCUMENTS							
Examiner Initials*	Cite No.1		T²					
/LC/		Copy of ISR from EP 05019882.9 dated February 16, 2006.						
/LC/		Copy of ISR from EP 05021777.7 dated February 23, 2006.						

Examiner	/Lee Cohen/	Date Considered 09/11/2007
Framinas Initia	Lifeitation considered, whether or nor situation is in a	conformance with MPEP & 600. Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

### Docket: 2854 (203-3081 PCT US) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Thomal L. Buchman, III

EXAMINER:

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

N/Y/K

FILED:

October 5, 2005

DATED:

April 11, 2006

TITLE:

**ELECTROSURGICAL PENCIL WITH** 

DRAG SENSING CAPABILITY

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO/SB/08A is brought to the attention of the Examiner. Copies of the U.S. patent documents are not provided in accordance with the waiver of that requirement under 37 C.F.R. §1.98.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

Applicant would also like to bring the following commonly-owned U.S. Patent Applications to Examiner's attention: U.S. Application No. 11/337,990 filed on January 24, 2006; U.S. Application No.: 11/211,293 filed on August 25, 2005 and U.S. Application No. 11/218,110 filed on September 1, 2005. Copies of these applications are enclosed.

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Dated: April 11, 2006

Nicole Rispone

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

[] This information disclosure statement is being filed within three (3) months of the filing date of this application.	
[] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § an international application.	
[X] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action of merits in connection with this case.	n the
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[] Please charge the \$130.00 petition fee to Deposit Account No. 21-0	<u> 1550</u> .
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[] Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to De Account No. <u>21-0550</u> .	posit

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 21-0550. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 21-0550 therefor.

Respectfully submitted,

Francesco Sardone Reg. No. 47,918

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP 445 Broad Hollow Road - Suite 225

Melville, New York 11747.

Tel.: (631) 501-5700 Fax: (631) 501-3526



Form PTO-1449

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

2854 (203-3081 PCT US)

Application Number

10/521,901

Applicants

| |Thomas L. Buchman, III

Filing Date

Group Art Number

October 5, 2005

N/Y/K

#### U.S. PATENT DOCUMENTS - WITHOUT REFERENCES

Examiner Initial	Document Number	Date	Name	Clas	s Subclas	Filing Date if Appropriate
/LC/	2,993,178	7-18-1961	BURGER			
	3,058,470	10-16-1962	SEELIGER ET AL.			
,	3,648,001	3-17-1972	ANDERSON ET AL.			
	3,675,655	7-11-1972	SITTNER			
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	3,720,896	3-13-1973	BEIERLEIN			
	3,801,766	4-2-1974	MORRISON, JR.			
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	4,014,343	3-29-1977	ESTY			
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	4,112,950	9-12-1978	PIKE			
	4,593,691	6-10-1986	LINDSTROM ET AL.			•
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	4,712,544	12-15-1987	ENSSLIN			
	4,788,977	12-6-1988	FARIN ET AL.			
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V	4,872,454	10-10-1989	DeOliveira ET AL.			

Examiner

/Lee Cohen/

Date Considered

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449 Docket Number (Optional) **Application Number** 2854 (203-3081 PCT US) 10/521,901 INFORMATION DISCLOSURE CITATION IN AN APPLICATION Applicants Thomas L. Buchman, III Filing Date Group Art Number October 5, 2005 N/Y/K (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Examiner Document Filing Date Initial Number Date Name Class Subclass | if Appropriate 10-24-1989 /LC/ **BLANCH** 4,876,110 4,903,696 2-27-1990 STASZ ET AL. 4,919,129 4-24-1990 WEBER, JR. ET AL. 4,922,903 5-8-1990 WELCH ET AL. 8-21-1990 **BERNSTEIN** 4,949,734 **FARIN** 4,969,885 11-13-1990 DeOliveira et al. 5,000,754 3-19-1991 5,011,483 4-30-1991 **SLEISTER** 5,013,312 5-7-1991 PARINS ET AL. 9-10-1991 SINGER 5,046,506 12-24-1991 DINES 5,074,863 FLACHENECKER ET AL. 5,108,391 4-28-1992 5,154,709 10-13-1992 JOHNSON 5,167,659 12-1-1992 OHTOMO ET AL. 5,234,429 8-10-1993 **GOLDHABER** 5,261,906 11-16-1993 PENNINO ET AL. BALES ET AL. 5,312,400 5-17-1994 5,312,401 5-17-1994 **NEWTON ET AL. DESAI** 5,322,503 6-21-1994 5,330,470 7-19-1994 **HAGEN** 5,423,838 6-13-1995 WILLARD **GENTELIA ET AL.** 5,468,240 11-21-1995 Date Considered Examiner /Lee Cohen/

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP \$609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

orm PTO-1449			Docket Number (Option	Docket Number (Optional)			
INFOR	MATION DISCLOS	SURE CITATION	2854 (203-3081	PCT US)	10	0/521,901	
	IN AN APPLICA		Applicants	Thomas L. Buchman, III			
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	•		Filing Date		Group Art Number		
	(Use several sheets if		October 5, 2005			I/Y/K	
		U.S. PATENT	DOCUMENTS - WITH	IOUT RE	FEREN	ICES	
Examiner Initial	Document Number	Date	Name	Class S	ubclass	Filing Date if Appropriat	
/LC/	5,472,442	12-5-1995	KLICEK				
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	5,496,314	3-5-1996	EGGERS				
	5,561,278	10-1-1996	RUTTEN				
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	5,630,426	5-20-1997	EGGERS ET AL.				
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	5,674,219	10-7-1997	MONSON ET AL.				
	5,693,044	12-2-1997	COSMESCU				
	5,712,543	1-27-1998	SJOSTROM				
	5,749,869	5-12-1998	LINDENMEIER ET AL.				
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V	5,913,864	6-22-1999	GARITO ET AL.			•	
Examiner	/Lee Cohen/			Date Co	nsidere	<b>d</b> 07	
Examiner: Initial	l if citation considered, w	nether or nor citation	is in conformance with MPEP §6				

orm PTO-1449				Docket Number (Option	ai)		Application Number		
INFOR	MATION DISCLO	SURE CITATION	۱	2854 (203-3081	PCT U	S)	11	0/521,901	
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				Thomas L. Buchman, III					
				Filing Date	•	Group	up Art Number		
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Examiner Initial	Document Number	Date		Name	Class	Sub	class	Filing Date if Appropriate	
/LC/	5,919,219	7-6-1999	<u> </u>	KNOWLTON					
	5,928,159	7-27-1999	EG	GERS ET AL.					
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	6,022,347	2-8-2000	LIND	ENMEIER ET AL.					
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	6,068,627	5-30-2000	OR	SZULAK ET AL.			<u> </u>		
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	6,149,648	11-21-2000		COSMESCU					
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V	6,241,753 B1	6-5-2001		KNOWLTON					
Examiner	/Lee Cohen/				Date		idere		

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**Application Number** Form PTO-1449 Docket Number (Optional) 2854 (203-3081 PCT US) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Filing Date **Group Art Number** October 5, 2005 N/Y/K (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Document Filing Date Examiner Subclass | if Appropriate Initial Number Date Name Class 8-14-2001 PRIVITERA ET AL. /LC/. 6,273,862 B1 EGGERS ET AL. 6,277,083 B1 8-21-2001 9-11-2001 HEIM ET AL. 6,287,305 B1 6,325,799 B1 12-4-2001 **GOBLE** 6,350,276 B1 2-26-2002 **KNOWLTON** 3-12-2002 COSMESCU 6,355,034 B2 6,395,001 B1 5-28-2002 ELLMAN ET AL. 6,402,741 6-11-2002 KEPPEL ET AL. BLEWETT ET AL. 6,402,742 B1 6-11-2002 6,402,743 B1 6-11-2002 ORSZULAK ET AL. 6,409,725 B1 6-25-2002 KHANDKAR ET AL. 6,413,255 B1 7-2-2002 STERN EDWARDS ET AL. 6,416,491 B1 7-9-2002 7-9-2002 GOBLE ET AL. 6,416,509 B1 7-30-2002 KNOWLTON 6,425,912 B1 **POZZATO** 6,458,122 B1 10-1-2002 6,458,125 B1 10-1-2002 COSMESCU 6,461,352 B2 10-8-2002 MORGAN ET AL. SCHULZE ET AL. 6,464,702 B2 10-15-2002 6,471,659 B2 EGGERS ET AL. 10-29-2002 **DENG** 6,500,169 B1 12-31-2002 1-28-2003 GENTELIA ET AL. 6,511,479 B2 Date Considered Examiner /Lee Cohen/ Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449			Docket Number (Option	Docket Number (Optional)					
INFORMATION DISCLOSURE CITATION			2854 (203-308	2854 (203-3081 PCT US)					
	IN AN APPLICA	Applicants	Applicants						
			Thomas L. Buchm	Thomas L. Buchman, III					
		Filing Date	Gr	Group Art Number					
	(Use several sheets if	<del></del>	October 5, 2005	N/Y/K					
		U.S. PATENT	DOCUMENTS - WITH	HOUT RE	FEREN	ICES			
Examiner Initial	Document Number	Date	Name	Class S	ubclass	Filing Date if Appropria			
/LC/	6,551,313 B1	4-22-2003	LEVIN						
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	6,794,929 B2	9-21-2004	PELLY						
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V	6,855,140 B2	2-15-2005	ALBRECHT ET AL.						
Examiner	/Lee Cohen/			Date Considered 09/11/2007					

Form PTO-1449				Docket Number (Optional)				Application Number		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION				2854 (203-3081 PCT US)				10/521,901		
				Applicants						
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(Use several sheets if necessary)				October 5, 2005			N/Y/K			
		U.S. PATENT	DOCU	MENTS – WITH	OU	T RE	FER	EN	CES	
Examiner Initial	Document Number	Date	Name			ss S	Subclass		Filing Date if Appropriate	
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Examiner /Lee Cohen/					Date Considered					

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449 Docket Number (Optional) **Application Number** 2854 (203-3081 PCT US) 10/521,901 INFORMATION DISCLOSURE CITATION **Applicants** IN AN APPLICATION Thomas L. Buchman, III Filing Date **Group Art Number** October 5, 2005 N/Y/K (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Document Filing Date Examiner Date Name Class Subclass if Appropriate Initial Number 3-13-2003 **ELLMAN ET AL.** /LC/ 2003/0050633 A1 2003/0065321 A1 4-3-2003 CARMEL ET AL. 2003/0078572 A1 4-24-2003 PEARSON ET AL. **VAN WYK** 2003/0083655 A1 5-1-2003 2003/0088247 A1 5-8-2003 INESON 2003/0109864 A1 6-12-2003 GREEP ET AL. GREEP ET AL. 2003/0109865 A1 6-12-2003 2003/0130663 A1 6-10-2003 WALEN KELLOGG ET AL. 2003/0144680 A1 7-31-2003 **GREEP** 2003/0163125 A1 8-28-2003 2003/0199856 A1 10-23-2003 HILL ET AL. 10-23-2003 STERN ET AL. 2003/0199866 A1 JOHNSON ET AL. 2003/0199869 A1 10-23-2003 11-13-2003 KNOWLTON ET AL. 2003/0212393 A1 2003/0216728 A1 11-20-2003 STERN ET AL. 11-27-2003 KNOWLTON ET AL. 2003/0220635 A1 2003/0220638 A1 11-27-2003 **METZGER** 2003/0225401 A1 12-4-2003 EGGERS ET AL. 2003/0229341 A1 12-11-2003 ALBRECHT ET AL. 2003/0229343 A1 12-11-2003 ALBRECHT ET AL. 2004/0000316 A1 1-1-2004 KNOWLTON ET AL. 1-1-2004 KNOWLTON ET AL. 2004/0002704 A1 Date Considered Examiner /Lee Cohen/

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP \$609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Date Considered

09/11/2007

Form PTO-1449			Docket Number (Optional)				Application Number				
INFORMATION DISCLOSURE CITATION			2854 (203-3081 PCT US)				10/521,901				
IN AN APPLICATION  (Use several sheets if necessary)				Applicants							
				Thomas L. Buchman, III							
				Filing Date			Group Art Number				
				October 5, 2005				N/Y/K			
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/Lee Cohen/

Examiner

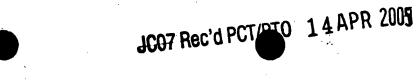
Form PTO-144	9	Docket Number (Optional)				Application Number				
INFO	DRMATION DISCLOS	2854 (203-3081 PCT US)					10/521,901			
	IN AN APPLICA	Applicants								
		Thomas L. Buchman, III								
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Examine	er	Date Considered
	/Lee Cohen/	09/11/2007
Examiner:	Initial if citation considered, whether or nor citation is in	conformance with MPEP §609; Draw line through

Form PTO-1449			Docket Number (Option	Docket Number (Optional)				
INFOR	INFORMATION DISCLOSURE CITATION			2854 (203-3081 PCT US)				
IN AN APPLICATION			Applicants Thomas L. Buchman, III					
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Examiner	/Lee Cohen/		Date Considered
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PATENT **Attorney Docket No.: 2854(203-3081PCTUS)** IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Thomas L. Buchman, III

Examiner:

Not assigned

Serial No.:

10/521,901

Group: Art Unit Not Assigned

Filed:

January 14, 2005

Dated:

April 12, 2005

For:

ELECTROSURGICAL PENCIL WITH DRAG SENSING CAPABILITY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. It is respectfully submitted that copies of the references listed on pages 1-8 are not required since this application was filed after June 30, 2003 pursuant to 51 C.F.R. 1.98. Copies of foreign references and publications listed on page 9 of form PTO-1449 are enclosed.

Applicants would like to bring parent International Application No. PCT/US03/22900 filed on July 23, 2003 to the Examiner's attention. A copy of this application is enclosed.

Applicant would also like to bring commonly-owned International Application No. PCT/US04/04685 filed on February 17, 2004; U.S. Application No. 10/718,113 filed on November 20, 2003; U.S. Application No. 10/959,824 filed on

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Cernmisetoner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 12, 2005.

Dated: April 12, 2005

Edward C. Meaghe

October 6, 2004; International Application No. PCT/US03/37111 filed on November 20, 2003; U.S. Application No. 29/193,706 filed on November 13, 2003; U.S. Application No. 10/716,776 filed on November 19, 2003 to the Examiner's attention. Copies of these applications are enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- [X] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- [] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.

- [] Enclosed herewith is a certificate under 37 C.F.R. §1.97(e)(1).
- [] Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(2).
  - [] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
  - [] Please charge the \$130.00 petition fee to Deposit Account No. 21-0550.
- [] Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
- [] Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 21-0550.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account <u>21-0550</u>. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. <u>21-0550</u> therefor.

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

Edward C. Meagher Reg. No. 41,189

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP 445 Broad Hollow Road Suite 225 Melville, New York 11747

Tel.: (631) 501-5700 Fax: (631) 501-3526

ECM/gm





Form PTO-1449

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket Number (Optional) Application Number
2854 (203-3081PCTUS) 10/521,901

Applicants

Thomas L. Buchman, III

Filing Date

Group Art Number

(Use several sheets if necessary)

January 14, 2005

**Not Assigned** 

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Examiner

/Lee Cohen/

Date Considered

Form PTO-1449 Docket Number (Optional) **Application Number** 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Group Art Number Filing Date January 14, 2005 **Not Assigned** (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Filing Date Examiner **Document** if Appropriate Initial Subclass Number Date Name Class /LC/ 4,625,723 12/2/86 ALTNETHER ET AL. 2/3/87 **BEARD** 4,640,279 **SOLORZANO** 2/10/87 4,642,128 4,655,215 4/7/87 PIKE 4/14/87 **GARITO ET AL.** 4,657,016 8/4/87 4,683,884 HATFIELD ET AL. 4,688,569 8/25/87 **RABINOWITZ** 10/20/87 4,701,193 ROBERTSON ET AL. **GOODSON ET AL.** 4/5/88 4,735,603 7/5/88 4,754,754 **GARITO ET AL.** 4,785,807 11/22/88 **BLANCH** 5/9/89 4,827,911 **BROADWIN ET AL.** 4,846,790 7/11/89 HORNLEIN ET AL. 4,850,353 7/25/89 STASZ ET AL. 9/5/89 4,862,890 STASZ ET AL. 4,869,715 9/26/89 **SHERBURNE** 4,886,060 12/12/89 WIKSELL 2/20/90 4,901,719 TRENCONSKY ET AL. 4,909,249 3/20/90 AKKAS ET AL. 4,911,159 3/27/90 JOHNSON ET AL. 4/10/90 4,916,275 **ALMOND** 4,921,476 5/1/90 WUCHINICH Examiner /Lee Cohen/

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION
Applicants

INFORMATION DISCLOSURE CITATION

Applicants

Docket Number (Optional) Application Number 2854 (203-3081PCTUS) 10/521,901

Thomas L. Buchman, III

Filing Date

Group Art Number

(Use several sheets if necessary)

January 14, 2005

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		U.S. PATENT	T DOCUMENTS – WITH	IOU	TR	EFI	EREN	<i>ICES</i>		
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Examiner

/Lee Cohen/

Date Considered 09/11/2007

Application Number Form PTO-1449 Docket Number (Optional) 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Group Art Number Filing Date January 14, 2005 **Not Assigned** (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Examiner Document Filing Date Initial Number Date Name Class Subclass if Appropriate /LC/ 6/8/93 5,217,457 DELAHUERGA ET AL. 5.224.944 7/6/93 **ELLIOTT** 7/13/93 5,226,904 **GENTELIA ET AL.** 5,233,515 8/3/93 **COSMAN** 8/10/93 **KAUFMAN** 5,234,428 5,242,442 9/7/93 HIRSCHFELD 5,244,462 9/14/93 **DELAHUERGA ET AL.** 5,246,440 9/21/93 VAN NOORD 5,254,082 10/19/93 **TAKASE** 5,254,117 10/19/93 RIGBY ET AL. 10/26/93 5,256,138 **BUREK ET AL.** 12/14/93 5,269,781 HEWELL, III. 5,300,087 4/5/94 **KNOEPFLER** 5,304,763 4/19/94 ELLMAN ET AL. 5,306,238 4/26/94 **FLEENOR** 5,312,329 5/17/94 BEATY ET AL. 6/7/94 5,318,516 COSMESCU 6/7/94 5,318,565 KURILOFF ET AL. 5,334,183 8/2/94 WUCHINICH 5,342,356 8/30/94 **ELLMAN ET AL.** 5,348,555 9/20/94 ZINNANTI 5,376,089 12/27/94 SMITH Date Considered Examiner /Lee Cohen/

Form PTO-1449 Docket Number (Optional) **Application Number** 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Group Art Number Filing Date January 14, 2005 **Not Assigned** (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Filing Date Document Examiner if Appropriate Class Subclass Initial Number Date Name 1/10/95 **MORRIS** 5,380,320 /LC/ 1/17/95 CIMINO ET AL. 5,382,247 3/7/95 5,395,363 **BILLINGS ET AL.** 5,399,823 3/21/95 MCCUSKER 5,401,273 3/28/95 SHIPPERT 4/4/95 5,403,882 **HUGGINS** 5,406,945 4/18/95 RIAZZI ET AL. 4/25/95 ERLICH ET AL. 5,409,484 5/9/95 **HAENGGI** 5,413,575 <u>5,421,829</u> 6/6/95 OLICHNEY ET AL. 7/11/95 **COSMESCU** 5,431,650 9/19/95 DE MAAGD ET AL. 5,451,222 5,460,602 10/24/95 SHAPIRA SAKURAI ET AL. 5,462,522 10/31/95 1/16/96 STODDARD 5,484,398 5,484,434 1/16/96 CARTMELL ET AL. 5,486,162 1/23/96 **BRUMBACH** 5,498,654 3/12/96 SHIMASAKI ET AL. 5,531,722 7/2/96 **VAN HALE** 8/27/96 5,549,604 SUTCU ET AL. 2/11/97 **BISHOP ET AL.** 5,601,224 SANDOCK 5,609,573 3/11/97 Date Considered Examiner /Lee Cohen/ <del>09/11/2007</del> Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP \$609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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Application Number Form PTO-1449 Docket Number (Optional) 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Group Art Number Filing Date January 14, 2005 **Not Assigned** (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Examiner **Document** Filing Date Number Class Subclass if Appropriate Initial Name Date /LC/ 6,004,333 12/21/99 SHEFFIELD ET AL. 6,063,050 5/16/00 MANNA ET AL. 6/6/00 6,070,444 LONTINE ET AL. 6,099,525 8/8/00 COSMESCU 6,117,134 9/12/00 **CUNNINGHAM ET AL.** 6,139,547 10/31/00 LONTINE ET AL. 11/7/00 6,142,995 COSMESCU 11/14/00 6,146,353 PLATT, JR. 4/10/01 PLATT, JR. ET AL. 6,213,999 6,249,706 6/19/01 SOBOTA ET AL. 6,251,110 6/26/01 WAMPLER 6,257,241 7/10/01 WAMPLER 6,258,088 7/10/01 TZONEV ET AL. 9/11/01 6,287,344 WAMPLER ET AL. 6,312,441 11/6/01 **DENG** 6,358,281 3/19/02 BERRANG ET AL. 6,361,532 3/26/02 **BUREK** 6/11/02 SCHOENMAN ET AL. 6,402,748 6,494,882 12/17/02 LEBOUITZ ET AL. 6,747,218 6/8/04 HUSEMAN ET AL. 2003/0004508 1/2/03 MORGAN ET AL. PODHAJSKY ET AL. 2004/0092927 5/13/04 Date Considered Examiner /Lee Cohen/

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